# This Page Is Inserted by IFW Operations and is not a part of the Official Record

# **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

# IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

# THIS PAGE BLANK (USPTO)

## **PCT**





### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup>:

A61K 39/39

A1

(11) International Publication Number: WO 95/17209

(43) International Publication Date: 29 June 1995 (29.06.95)

(21) International Application Number: PCT/EP94/04227

(22) International Filing Date: 15 December 1994 (15.12.94)

(30) Priority Data: 9326253.3 23 December 1993 (23.12.93)

(71) Applicant (for all designated States except US): SMITHKLINE BEECHAM BIOLOGICALS (S.A.) [BE/BE]; 89, rue de l'Institut, B-1330 Rixensart (BE).

(72) Inventors; and

- (75) Inventors/Applicants (for US only): MOMIN, Patricia, Marie [BE/BE]; SmithKline Beecham Biologicals (S.A.), 89, rue de l'Institut, B-1330 Rixensart (BE). GARCON, Nathalie, Marie-Josephe [FR/FR]; SmithKline Beecham Biologicals (S.A.), 89, rue de l'Institut, B-1330 Rixensart (BE).
- (74) Agent: DALTON, Marcus, Jonathan, William; SmithKline Beecham, Corporate Intellectual Property, SB House, Great West Road, Brentford, Middlesex TW8 9BD (GB).

(81) Designated States: AM, AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, JP, KE, KG, KP, KR, KZ, LK, LR, LT, LU, LV, MD, MG, MN, MW, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SI, SK, TJ, TT, UA, US, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG), ARIPO patent (KE, MW, SD, SZ).

**Published** 

With international search report.

(54) Title: VACCINES

(57) Abstract

The present invention provides vaccine compositions comprising an oil in water emulsion optionally with 3 De-O-acylated monophosphoryl lipid A and QS21. The vaccines' compositions are potent inducers of a range of immune responses.

#### FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT Aus	tria	GB	United Kingdom	MR	Mauritania
AU Aus	tralia	GE	Georgia	MW	Malawi
BB Barl	pados	GN	Guinea	NE	Niger
BE Belg	gium	GR	Greece	NL	Netherlands
BF Burl	cina Faso	HU	Hungary	NO	
BG Buls	garia	IE	Ireland	NZ	Norway
BJ Ben		IT	Italy		New Zealand
BR Braz		JР	Japan	PL	Poland
BY Bela		KE	Kenya	PT	Portugal
CA Can		KG	-	RO	Romania
	ral African Republic	KP	Kyrgystan	RU	Russian Federation
		K.F	Democratic People's Republic	SD	Sudan
	•		of Korea	SE	Sweden
	zerland	KR	Republic of Korea	SI	Slovenia
	d'Ivoire	KZ	-Kazakhstan	-SK	Slovakia
	eroon	LI	Liechtenstein	SN	Senegal
CN Chin	a	LK	Sri Lanka	TD	Chad
CS Czec	hoslovakia	LU	Luxembourg	TG	Togo
CZ Czec	h Republic	LV	Latvia	TJ	Tajikistan
DE Gerr	nany	MC	Monaco	TT	Trinidad and Tobago
DK Deni		MD	Republic of Moldova	UA.	Ukraine
ES Spain	1	MG	Madagascar	US	
FI Finls	·	ML	Mali		United States of America
FR Fran		TATE:	MAN	UZ	Uzbekistan
		MN	Mongolia	VN	Viet Nam



PCT/EP94/04227

-1-

#### **Vaccines**

The present invention relates to novel vaccine formulations, to methods of their production and to their use in medicine. In particular, the present invention relates to an oil in water emulsion. Such emulsions comprise tocopherol, squalene, Tween 80, Span 85 and Lecithin and have useful adjuvant properties. Vaccines containing QS21, an Hplc purified non-toxic fraction derived from the bark of Quillaja Saponaria Molina, and/or 3 De-O-acylated monophosphoryl lipid A (3 D-MPL), together with such oil in water emulsions also form part of the invention.

10

15

5

3 De-O-acylated monophosphoryl lipid A is known from GB2220 211 (Ribi). Chemically it is a mixture of 3 De-O-acylated monophosphoryl lipid A with 4, 5 or 6 acylated chains and is manufactured by Ribi Immunochem Montana. A preferred form of 3 De-O-acylated monophosphoryl lipid A is disclosed in International Patent Application No. 92/116556.

QS21 is a Hplc purified non toxic fraction of a saponin from the bark of the South American tree Quillaja Saponaria Molina and its method of its production is disclosed (as QA21) in US patent No. 5,057,540.

20

Oil in water emulsions per se are known in the art, and have been suggested to be useful as adjuvant compositions (EPO 399843).

The present invention is based on the surprising discovery that an oil in water emulsion of the present invention, which unlike emulsions of the prior art contain tocopherol, as such or in combination with QS21 and/or 3 D-MPL enhance immune responses to a given antigen. Such enhancement available affords better immunological responses than hitherto before.

Additionally the oil in water emulsions of the present invention when formulated with 3 D-MPL and QS21 are preferential stimulators of IgG2a production and TH1 cell response. This is advantageous, because of the known implication of TH<sub>1</sub> response in cell mediated response. Indeed in mice induction of IgG2a is correlated with such an immune response.

35

For example a vaccine formulation of the HIV antigen gp120 in such a combination results in a powerful synergistic induction of gp120 protein specific immune responses.



The observation that it is possible to induce strong cytolytic T lymphocyte responses is significant as these responses, in certain animal models have been shown to induce protection against disease.

5

The present inventors have shown that the combination of the adjuvants QS21 and 3D-MPL together with an oil in water emulsion with an antigen results in a powerful induction of CS protein specific CTL in the spleen. QS21 also enhances induction of CTL on its own, while 3D-MPL does not.

10

15

20

Induction of CTL is easily seen when the target antigen is synthesised intracellularly (e.g. in infections by viruses, intracellular bacteria, or in tumours), because peptides generated by proteolytic breakdown of the antigen can enter the appropriate processing pathway, leading to presentation in association with class I molecules on the cell membrane. However, in general, pre-formed soluble antigen does not reach this processing and presentation pathway, and does not elicit class I restricted CTL. Therefore conventional non-living vaccines, while eliciting antibody and T helper responses, do not generally induce CTL mediated Immunity. The combination of the two adjuvants QS21 and 3D-MPL together with an oil in water emulsion can overcome this serious limitation of vaccines based or recombinant proteins, and induce a wider spectrum of immune responses.

25

CTL specific for CS protein have been shown to protect from malaria in mouse model systems (Romero et al. Nature 341:323 (1989)). In human trials where volunteers were immunised using irradiated sporozoites of P. falciparum, and shown to be protected against subsequent malaria challenge, induction of CTL specific for CS epitopes was demonstrated (Malik et al. Proc. Natl. Acad. Sci. USA 88:3300 (1991)).

30

The ability to induce CTL specific for an antigen administered as a recombinant molecules is relevant to malaria vaccine development, since the use of irradiated sporozoites would be impractical, on the grounds of production and the nature of the immune response.

35

RTS is a hybrid protein comprising substantially all the C-terminal portion of the circumsporozoite (CS) protein of P.falciparum linked via four amino acids of the preS<sub>2</sub> portion of Hepatitis B surface antigen to the surface (S) antigen of hepatitis B virus. It's full structure is disclosed in co-pending International Patent Application No. PCT/EP92/02591, published under Number WO 93/10152 claiming priority from UK

patent application No.9124390.7. When expressed in yeast RTS is produced as a lipoprotein particle, and when it is co-expressed with the S antigen from HBV it produces a mixed particle known as RTS,S.

- In addition to human immunodeficiency virus and malaria vaccines, the ability to induce CTL responses would benefit vaccines against herpes simplex virus, cytomegalovirus, and generally all cases where the pathogen has an intracellular life stage.
- 10 Likewise, CTL specific for known tumour antigens could be induced by a combination of a recombinant tumour antigen and the two adjuvants. This would allow the development of anti cancer vaccines.
- In certain systems, the combination of 3D-MPL and QS21 together with an oil in water emulsion have been able to synergistically enhance interferon γ production. The present inventors have demonstrated the potential of 3D-MPL and QS21 together with an oil in water emulsion by utilising a herpes simplex antigen known as gD<sub>2</sub>t. gD<sub>2</sub>t is a soluble truncated glycoprotein D from HSV-2 and is produced in CHO cells according to the methodology Berman et al. Science 222 524-527.

20

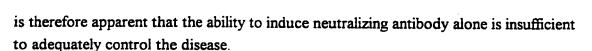
25

IFN- $\gamma$  secretion is associated with protective responses against intracellular pathogens, including parasites, bacteria and viruses. Activation of macrophages by IFN- $\gamma$  enhances intracellular killing of microbes and increases expression of Fc receptors. Direct cytotoxicity may also occur, especially in synergism with lymphotoxin (another product of TH1 cells). IFN- $\gamma$  is also both an inducer and a product of NK cells, which are major innate effectors of protection. TH1 type responses, either through IFN- $\gamma$  or other mechanisms, provide preferential help for IgG2a immunoglobulin isotypes.

Glycoprotein D is located on the viral envelope, and is also found in the cytoplasm of infected cells (Eisenberg R.J. et al. J. of Virol. 1980 35 428-435). It comprises 393 amino acids including a signal peptide and has a molecular weight of approximately 60kD. Of all the HSV envelope glycoproteins this is probably the best characterized (Cohen et al. J. Virology 60 157-166). In vivo it is known to play a central role in viral attachment to cell membranes. Moreover, glycoprotein D has been shown to be able to elict neutralizing antibodies in vivo (Eing et al. J. Med Virology 127: 59-65). However, latent HSV2 virus can still be reactivated and induce recurrence of the disease despite the presence of high neutralizing antibodies titre in the patients sera. It

5

10



In order to prevent recurrence of the disease, any vaccine will need to stimulate not only neutralizing antibody, but also cellular immunity mediated through T-cells, particularly cytotoxic T-cells.

In this instance the gD<sub>2</sub>t is HSV2 glycoprotein D of 308 amino acids which comprises amino acids 1 though 306 of the naturally occurring glycoprotein with the addition of Asparagine and Glutamine at the C terminal end of the truncated protein. This form of the protein includes the signal peptide which is cleaved to yield a mature 283 amino acid protein. The production of such a protein in Chinese Hamster ovary cells has been described in Genentech's European patent EP-B-139 417.

The mature truncated glycoprotein D (rgD2t) or equivalent proteins secreted from mammalian cells, is preferably used in the vaccine formulations of the present invention.

The formulations of the present invention are very effective in inducing protective immunity in a genital herpes model in guinea pigs. Even with very low doses of antigen (e.g. as low as 5 µg rgD2t) the formulations protect guinea pigs against primary infection and also stimulate specific neutralising antibody responses. The inventors, utilising formulation of the present invention, have also demonstrated Effector cell mediated responses of the TH1 type in mice.

25

30

Accordingly, in one preferred embodiment of the present invention provides a vaccine or pharmaceutical formulation comprising an antigen in conjunction with 3 De-O-acylated monophosphoryl lipid A, QS21and an oil in water emulsion wherein the oil in water emulsion comprises a metabolisible oil, such as squalene, alpha tocopherol and tween 80. Such a formulation is suitable for a broad range of monovalent or polyvalent vaccines. Additionally the oil in water emulsion may contain span 85. A preferred form of 3 De-O-acylated monophosphoyl lipio A is disclosed in International patent application published under No. 92116556 - SmithKline Beecham Biologicals s.a.

35

The oil in water emulsion may be utilised on its own or with other adjuvants or immuno-stimulants and therefore an important embodiment of the invention is an oil in

20

25



water formulation comprising squalene or another metabolisable oil, alpha tocopherol, and tween 80. The oil in water emulsion may also contain span 85 and/or Lecithin.

Preferably the vaccine formulations will contain an antigen or antigenic composition 5 capable of eliciting an immune response against a human or animal pathogen, which antigen or antigenic composition is derived from HIV-1, (such as gp120 or gp160). any of Feline Immunodeficiency virus, human or animal herpes viruses, such as gD or derivatives thereof or Immediate Early protein such as ICP27 from HSV1 or HSV2. cytomegalovirus ((esp Human)(such as gB or derivatives thereof), Varicella Zoster 10 Virus (such as gpI, II or III), or from a hepatitis virus such as hepatitis B virus for example Hepatitis B Surface antigen or a derivative thereof, hepatitis A virus, hepatitis C virus and hepatitis E virus, or from other viral pathogens, such as Respiratory Syncytial virus, human papilloma virus or Influenza virus, or derived from bacterial pathogens such as Salmonella, Neisseria, Borrelia (for example OspA or OspB or 15 derivatives thereof), or Chlamydia, or Bordetella for example P.69, PT and FHA, or derived from parasites such as plasmodium or Toxoplasma.

The formulations may also contain an anti-tumour antigen and be useful for immunotherapeutically treating cancers.

In an immunotherapeutic animal model for B cell lymphoma, where BCL-1 mouse lymphoma cells are adminstered intaperitonelly to Balb/c mice on day 0, and mice are vaccinated on days 3, 10 and 20 with the BCL-1 Idlotype, formulation SB62/MPL/QS21 stands out as the most potent, both with respect to antibody titers, and with respect to survival (the only group with 100% survival). Similarly the ability of this formulation to stimulate cytotoxic T lymphocytes to the antigens included make them a good candidate for formulation of cancer antigens (eg melanoma antigens MAGE-1 and MAGE-3 for immunotherapy of tumors by active vaccination).

The formulation may also be useful for utilising with herpetic light particles such as described in International Patent Application No. PCT/GB92/00824 and, International Patent Application No. PCT/GB92/00179.

Derivatives of Hepatitis B Surface antigen are well known in the art and include, inter alia, those PreS<sub>1</sub>, PreS<sub>2</sub> S antigens set forth described in European Patent applications EP-A-414 374; EP-A-0304 578, and EP 198-474. In one preferred aspect the vaccine formulation of the invention comprises the HIV-1 antigen, gp120, especially when

10

15



expressed in CHO cells. In a further embodiment, the vaccine formulation of the invention comprises gD<sub>2</sub>t as hereinabove defined.

In a further aspect of the present invention there is provided a vaccine as herein described for use in medicine.

The ratio of QS21: 3D-MPL will typically be in the order of 1:10 to 10:1; preferably 1:5 to 5:1 and often substantially 1:1. The preferred range for optimal synergy is 2.5:1 to 1:1 3D MPL: QS21. Typically for human administration QS21 and 3D MPL will be present in a vaccine in the range 1 µg - 100 µg, preferably 10 µg - 50 µg per dose. Typically the oil in water will comprise from 2 to 10% squalene, from 2 to 10% alpha tocopherol and from 0.3 to 3% tween 80. Preferably the ratio of squalene: alpha tocopherol is equal or less than 1 as this provides a more stable emulsion. Span 85 may also be present at a level of 1%. In some cases it may be advantageous that the vaccines of the present invention will further contain a stabiliser.

Vaccine preparation is generally described in New Trends and Developments in Vaccines, edited by Voller et al., University Park Press, Baltimore, Maryland, U.S.A.
1978. Encapsulation within liposomes is described, for example, by Fullerton, U.S. Patent 4,235,877. Conjugation of proteins to macromolecules is disclosed, for example, by Likhite, U.S. Patent 4,372,945 and by Armor et al., U.S. Patent 4,474,757.

- The amount of protein in each vaccine dose is selected as an amount which induces an immunoprotective response without significant, adverse side effects in typical vaccinees. Such amount will vary depending upon which specific immunogen is employed and how it is presented. Generally, it is expected that each dose will comprise 1-1000 μg of protein, preferably 2-100 μg, most preferably 4-40 μg. An optimal amount for a particular vaccine can be ascertained by standard studies involving observation of appropriate immune responses in subjects. Following an initial vaccination, subjects may receive one or several booster immunisation adequately spaced.
- 35 The formulations of the present invention maybe used for both prophylatic and therapeutic purposes.



Accordingly in one aspect, the invention provides a method of treatment comprising administering an effective amount of a vaccine of the present invention to a patient.

The following examples illustrate the invention.

5

#### **Examples**

Example 1 Vaccine formulation comprising the gp120 antigen of HIV-1.

10 The two adjuvant formulations were made each comprising the following oil in water emulsion component.

SB26: 5% squalene 5% tocopherol 0.4% tween 80; the particle size was 500 nm size

SB62: 5% Squalene 5% tocopherol 2.0% tween 80; the particle size was 180 nm

15

20

1(a) Preparation of emulsion SB62 (2 fold concentrate)

Tween 80 is dissolved in phosphate buffered saline (PBS) to give a 2% solution in the PBS. To provide 100 ml two fold concentrate emulsion 5g of DL alpha tocopherol and 5ml of squalene are vortexed to mix thoroughly. 90ml of PBS/Tween solution is added and mixed thoroughly. The resulting emulsion is then passed through a syringe and finally microfluidised by using an M1105 microfluidics machine. The resulting oil droplets have a size of approximately 180 nm.

25 1(b) Preparation of emulsion SB26

This emulsion was prepared in an analogous manner utilising 0.4% tween 80.

- 1(c) Other emulsions as depicted in Table 1 were made in an analogous manner. These are tested in the experiments as detailed in the following examples.
  - 1(d) Preparation of gp 120 QS21/3D MPL oil in water formulation.
- To the emulsion of 1 a) or b) or c) an equal volume of twice concentrated rgp120

  (either 20µg or 100µg) was added and mixed. This was combined with 50µg/ml of 3D-MPL and 20µg/ml of QS21 to give the final formulation. Buffer was sed according to salt content and pH.



Table 3 shows the effectiveness of SB26, utilising gp120 from HIV and  $50\mu g/ml$  3D MPL (MPL) and  $20\mu g/ml$  of QS21. The results show the geometric mean titre (GMT) after the second (P11) and third (P111) inoculations as well as cell mediated responses (CMI) to lymphocyte prolipheration and  $\gamma$  interferon production.

5

#### Example 2

Introduction: Evaluation of an HIV gp 120 emulsion system

- In this experiment, four emulsions are compared [SB26, SB 62, SB40, SB61]. The influence of each formulation's component (antigen, emulsion, 3D- MPL, QS21) is evaluated.
  - 2(b) Groups of animals utilised

15

There are 22 groups of 5 animals each group received a different vaccine formulation.

```
- gr 1-4: gp 120 (10μg) / no emuls ± [3D-MPL, QS21]
- gr 5-9: gp 120 (10μg) / SB26 ± [3D-MPL, QS21]
20 - gr 10: no antigen / SB26 + [3D-MPL, QS21]
- gr 11-12: gp 120 (10μg) / SB62 ± [3D-MPL, QS21]
- gr 13-16: gp 120 (10μg) / SB40 ± [3D-MPL, QS21]
- gr 17-20: gp 120 (10μg) / SB61 ± [3D-MPL, QS21]
- gr 21-22: gp 120 (5μg) / SB26 ± [3D-MPL, QS21]
```

25

- Assays: - antibody titers to gp 120W61D and isotype analysis (all groups)

#### 2(c) Immunization and bleeding schedule

- animals were immunized with gp 120W61D, formulated in different o/w
   emulsions in the presence of 5μg 3D-MPL and 5μg QS21 per dose. Negative controls received the equivalent formulations without any antigen.
- animals were immunized subcutaneously at day 0 and 14. Each injection dose was administered in a 100µl volume.
  - blood samples were obtained before Immunization (day 0) and after Immunization on days 14 (post I), 21 and 28 (7 and 14d. post II).



#### 2(d) Analysis of the serological response:

- the 14 days post I and post II serological response was evaluated in a direct 5 ELISA assay to gp 120W61D.
  - the 14 days post II response was also characterized regarding the isotypes of gp 120W61D specific antibodies induced in mice after immunization.

#### 10 3 RESULTS AND DISCUSSION:

The results are depicted on Table 2

- a) Comparison of emulsions in the presence or absence of 3D-MPL/QS21:
- Addition of emulsions SB26, SB40 or SB62 to the antigen induces higher antibody titers; In the absence of immunostimulants, the gp 120 specific antibodies are essentially IgG1.
- Addition of immunostimulants 3D-MPL and QS21 induces a huge serological response and a shift of antibodies from IgG1 type to IgG2a/IgG2b: This correlated with cell mediated immunity.

The preferred combination is [SB26 + MPL + QS21].

25

15

c) gp120/SB26 formulation:

No significant difference in serological response is observed between group 8 and group 9: addition of the gp 120 before or after the other components of the formulation.

30

d) Antigen dose:

Both 5 and 10  $\mu g$  of gp 120 formulated in SB26 induce high serological response (groups 5-8 and 21-22) .

#### 35 Example 3 HSV rgD<sub>2</sub>t formulation

In analogous manner to that set forth in Example 1a) formulation comprising the herpes simplex antigen rgD2t was made and used to vaccinate guinea pigs. Such



formulation induced protection against both recurrent and initial disease in the guinea pig model.

#### Example 4

Screening of adjuvants for induction of protective anti lymphoma responses using idiotype as immunogen.

Therapeutic vaccination of Balb/c mice with idiotype from BCL1 lymphoma cells.

A review of the BALB/C B-cell lymphoma model is discussed by Yefenoh et al. 10 Current opinions Immunobiology 1993 5:740-744.

Groups of 10 mice are injected (ip) with 10<sup>4</sup> tumor cells at day 0, and vaccinated with 100 µg of KLH- coupled immunoglobulin directed against BCL 1 epitoped (ratio of

KLH/lg: 1/1), in different adjuvant formulations at days 3, 10, 20 (sc immunization in 15 the back). Level of serum antibodies to KLH and to idiotype, as well as mouse death are monitored.

#### Formulations tested:

group#	adjuvant
1	none (no antigen)
2	none
3	Freund
4	Alum
5 ·	Alum/MPL
6	Alum/MPL/QS21
7	QS21
8.	MPL/QS21
9 .	SB62MPL
10	SB62/MPL/QS21

MPL: 10µg QS21: 10µg

groups 12-15: different adjuvants without antigen

Formulations 8, 9, 10, behaved consisently better as compared to the others. Formulation 10 stands out as the most potent, both with respect to antibody titers, and with respect to survival (the only group with 100% survival).

BNSDOCID: <WO 9517209A1>

20

25



#### EXAMPLE 5 Various formulations of RTS,S

#### a) Evaluated in monkeys

5

RTS,S is described in International patent application no. WO93/10152 and was formulated for vaccination of Rheusus monkeys. Five animals were in each group:

	Group I	RTS,S, 3D-MPL(50 $\mu$ ), AL(OH) <sub>3</sub>
10	Group II	RTS,S, QS21(20µ), AL(OH) <sub>3</sub>
	Group III	RTS,S, 3D-MPL(50μ), QS21(20μ)
	Group IV	RTS,S, 3D-MPL(50µ), QS21 AL(OH) <sub>3</sub>
,	Group V	RTS,S, 3D-MPL(10µ), QS21 AL(OH) <sub>3</sub>
	Group VI	RTS,S, 3D-MPL(50µ), QS21 SB60

15

The animals were inoculated and bled at 14 days post first immunisation and 12 days post second immunisation and tested for Anti hepatitis B surface antigen immunoglobulin. As can be seen from figure 1, animals receiving RTS,S, in SB60 had antibody titres almost six fold higher than any other group.

20

### b) Various formulations of RTS,S - Evaluated in mice

7 groups of animals received the following formulations

25	Group 1	RTS,S SB62
	Group 2	RTS,S QS21 3D-MPL
	Group 3	RTS,S QS21 3D-MPL SB26
	Group 4	RTS,S 3D-MPL A1(0H) <sub>3</sub>
	Group 5	RTS,S A1(0H) <sub>3</sub>
30	Group 6	Plain
	Group 7	Negative control

(RTS,S - 5µg/dose, 3 D-MPL 5µg/dose QS21 5µg/dose)

The animals were inoculated and bled at 15 days post first immunisation and at day 7 and 15 post second immunisation and assayed for anti HBSAg antibody subtype. As can be seen from figure 2, the emulsion SB62 when formulated with QS21 and 3D-



MPL enhances preferentially and in a synergistic fashion the IgG2a antibody response while SB 62 alone or 3 D- MPL / QS21induce a poor I gG2a response.

EXAMPLE 6: Evaluation of different B burgdorferi OspA formulations

5

6.1 Evaluation of different formulations of B burgdorferi ZS7 Osp A lipoproteins.

OspA lipoprotein for B burgdorferi is described in European Patent Application 0418 827 Max Plank et al.

10

The following formulations were tested in balb/c mice

- 1.  $OspA + A1(OH)_3$
- 2.  $OspA + A1(OH)_3 + 3D-MPL (10\mu)$
- 15 3. OspA + A1(OH)<sub>3</sub> + 3D-MPL (30 $\mu$ )
  - 4. OspA + A1(OH)<sub>3</sub> + 3D-MPL (10 $\mu$ ) + QS21 (5 $\mu$ )
  - 5. OspA + A1(OH)<sub>3</sub> + 3D-MPL (30 $\mu$ ) + QS21 (15 $\mu$ )
    - 6. OspA + SB60 + 3D-MPL  $(10\mu)$  + QS21  $(5\mu)$
    - 7. OspA + SB60 + 3D-MPL  $(30\mu)$  + QS21  $(15\mu)$

20

and antibody titres and sub types studied seven days following a first inoculation and seven days post second inoculation (inoculations were at day 0, and 14).

The results depicted graphically in figures 3 and 4 and show that the formulations of the present invention induce high levels of antibodies and these are preferentially of the IgG2a subtype.

**EXAMPLE 7:** 

30 a) HSV-2 ICP 27

Female Balb/c mice were immunized on day 0 and day 14 in the hind foot-pads with various formulations of NS1-ICP27. Each injection contained 5 µg of NS1-ICP27 and combinations of SB26 oil-in-water

emulsion, QS21 (10  $\mu$ g) and MPL (25  $\mu$ g).

Popliteal lymphnode cells were obtained on day 28 and stimulated in vitro with syngeneic P815 cells transfected with the ICP27 gene. The cultures were then tested for specific cytolytic activity on P815 target cells transfected with ICP27 and P815 ICP27 negative controls.



Specific lysis results at different effector:target (E:T) ratios for different immunization groups were as follows:

```
ICP 27 (5μg)
```

```
5 E:T P815 P815 transfected with ICP 27 clone 121
100:1 -1 0
30:1 -2 -3
10:1 3 0
3:1 1 0
```

ICP 27 
$$(5\mu g) + MPL (25\mu g)$$

E:T P815 P815 transfected with ICP 27 clone 121 100:1 5 7 30:1 2 2

10:1 1 2 3:1 -1 -1

1:1 -2 -2

20 0.3:1 -4 -1

### ICP 27 $(5\mu g)$ + QS21 $(10\mu g)$

E:T P815 P815 transfected with ICP 27 clone 121

100:1 4 17

25 30:1 5 10

10:1 3 7

3:1 4 5

1:1 3 5

0.3:1 0 1

30

15

#### ICP 27 $(5\mu g) + SB26$

E:T P815 P815 transfected with ICP 27 clone 121

100:1 5 20

30:1 1 19

35 10:1 2 12

3:1 -2 7

1:1 1 5

0.3:1 1 2

20 Low ICP27 specific % lysis was obtained in immunization groups:

while

1:1 1

0.3:1 2

6

3

Thus these data show induction of CTL by recombinant NS1-ICP27 in oil-in-water emulsion alone or with QS21 and MPL; or with QS21.

b) Groups of 5 Balb/c mice were vaccinated in the footpad with the different vaccines (NS1-1CP27/NS1-ICP27 MPL + QS21/NS1-ICP27 SB26 = MPL and QS21/adjuvant alone). One dose contained 10  $\mu$ g NS1-ICP27, 10  $\mu$ g MPL and 10 $\mu$ g QS21.

35



Two vaccinations were given at days 0 and 7. Mice were challenged at day 14 with 5.2 10<sup>3</sup> TCID50 of HSV2 strain MS. The appearance of zosteriform lesions and deaths were recorded until day 14 post challenge.

5 ICP27 of HSV2 was expressed in E coli as a fusion protein with NS1 fragment of influenza virus. The protective efficacy of the purified recombinant protein was evaluated in the murine zosteriform model, in combination with MPL QS21 formulations. Balb/c mice given two vaccinations with NS1-ICP27 combined either with MPL + QS21 or with an oil in water emulsion (SB26) + MPL and QS21 were completely protected against disease (no zosteriform lesions) and death following HSV2 wild type challenge. In contrast, protection was not observed in the mice vaccinated either with NS1-ICP27 alone or with NS1-ICP27 combined with SB26 without MPL and QS21.





- 16 -

Table 1
Vehicles two fold concentrated

%   Span 85 %	• `	_	l'ocopherol % Squalene % I ween 80 %
	ı		
-		0.4	5 0.4
		•	
		0.4	5 0.4
		9.0	5 0.6
		8.0	5 0.8
			5 1
<del></del>		2	5 2
		,,,,	
	l		
		0.4	5 0.4
		<del></del>	
•		0.4	5 0.4
		0.4	5 0.4
•		0.4	5 0.4



- 17 -

Table 2 HIV gp 120W61D / MOUSE IMMUNOGENICITY (94243) / BALB / C (F.P.)

% IgG2b	0	32	•	<b>8</b>	•	4	27	13	21	61	0	6		4	25	7	<u>8</u>		. <u>.</u>	2 2	2.	14		21
%lgG2a	0	15	4	99	•	7	42	15	57	09	<b>∞</b>	37	-	7	44	15	29		· \$	2 5	<b>C</b> T	27	0	61
% IgG1	100	54	86	22	2	*	31	73	23	22	92	54		06	31	78	14		3.		<b>t</b>	29	66	<b>8</b>
ELISA TITERS (7 days PII)	494	4164	21515	52749	ויייינין	60771	. 87388	51020	178169	185704	10348	21739		36320	285219	48953	209217	0\$>	77515	72.00	16/04	59673	25089	242736
IMMUNOGEN (dose)/FORMULATION	др120 10µg		gP120 10µg + QS21 5µg	gP120 10µg + 3D-MPL + QS21	aP120 10a / SB26	61 120 18pg 3020	gP120 10µg / SB26 + 3D-MPL	gP120 10µg / SB26 + QS21	gP120 10µg / SB26 + 3D-MPL + QS21	$SB26 + 3D-MPL + QS21 / gP120 10 \mu g$	gP120 10µg / SB62	gP120 10µg / SB62 + 3D-MPL + QS21		gP120 10µg / SB40	gP120 10µg / SB40 + 3D-MPL	gP120 10µg / SB40 + QS21	gP120 10μg / SB40 + 3D-MPL + QS21	gP120 10µg / SB61			विकार विकार विकार करा है	gP120 10μg / SB61 + 3D-MPL + QS21	gP120 5µg / SB26	gP120 5μg / SB26 + 3D-MPL + QS21
GROUPS	- 0	7	m	4	~	` '	9	7	<b>∞</b>	6	11	12		13	4	15	91	17		10	` '	70	21	22

ELISA titers to gp 120 W61D. geomean of 5 individual titers, calculated by LINEST

Table 3	based formulations; HIV project Monkey studies
	3D-MPL based for

	_				- 18 -
		YIFN	+	+	
CMI in vitro		IL-2	ND QX	N O N	
		LP	+	+	
DTH in vivo					
GMT Neut. MN		FIII	>1:3200	1:2400	
GMT N	110		1:500	1:500	
sa W61 D	1110		93410	50150	20064
GMT ELisa W61 D	D11		60523	52026	
Read-Out	Formulation		gp120 (100 µg)/ o/w + MPL + QS21	gp120 (20 μg)/ o/w + MPL + QS21	"Historical" gp120 (100 µg)/ o/w + MPL in guinea pigs



#### Claims

- 1. A vaccine composition comprising an antigen and/or antigenic composition, QS21, 3 De-O-acylated monophosphoryl lipid A (3D-MPL) and an oil in water emulsion wherein the oil in water emulsion has the following composition: a metabolisible oil, such as squalene, alpha tocopherol and tween 80.
- 2. A vaccine as claimed in claim 1 wherein the ratio of QS21:3D-MPL is from 1:10 to 10:1.
- 3. A vaccine composition as claimed in claim 1 or 2 capable of invoking a cytolytic T cell response in a mammal to the antigen or antigenic composition.
- 4. A vaccine composition as claimed in any of claims 1 to 3 capable of stimulating interferon  $\gamma$  production.
- 5. A vaccine composition as claimed in any of claims 1 to 4 wherein the ratio of QS21:3D-MPL is from 1:1 to 1:2.5.
- 6. A vaccine composition as claimed herein comprising an antigen or antigenic composition derived from any of Human Immunodeficiency Virus, Feline Immunodeficiency Virus, Herpes Simplex Virus type 1, Herpes Simplex virus type 2, Human cytomegalovirus, Hepatitis A,B,C or E, Respiratory Syncytial virus, human papilloma virus, Influenza virus, Salmonella, Neisseria, Borrelia, Chlamydia, Bordetella, Plasmodium or Toxoplasma.
- 7. A vaccine as claimed in any of claim 1 to 5 wherein the antigen is a tumour antigen.
- 8. Use of composition as defined in any of claims 1 to 5 for the manufacture of a vaccine for the prophylatic treatment of viral, bacterial, or parasitic infections.
- 9. Use of composition as defined in any of claims 1 to 5 for the manufacture of a vaccine for the immunotherapeutic treatment of viral, bacterial, parasitic infections or cancer.
- 10. A method of treating a mammal suffering from or susceptible to a pathogenic infection comprising the administration of a safe and effective amount of a composition according to any of claims 1 to 5.



- 11. A method of treating a mammal suffering from cancer comprising the administration of a safe and effective amount of a composition according to any of claims 1 to 5.
- 12. A process for making a vaccine composition according to claims 1 to 5 comprising admixing QS21, 3D-MPL and the oil in water emulsion as defined in claim 1 with an antigen or antigenic composition.
- 13. A vaccine composition comprising an antigen or antigenic composition in association with an oil in water emulsion which emulsion comprises: a metabolisable oil, alpha tocopherol, and tween 80.

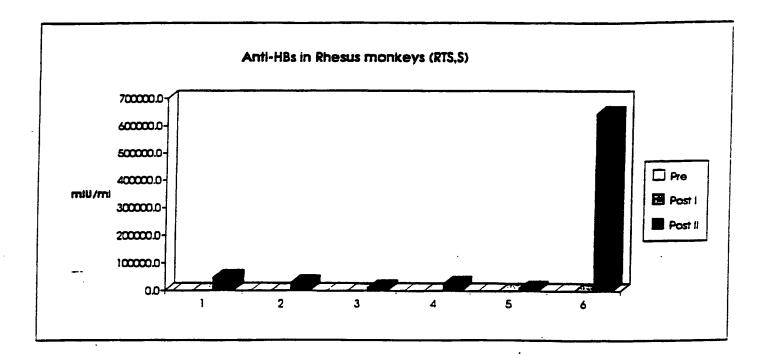
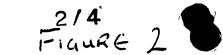
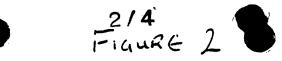


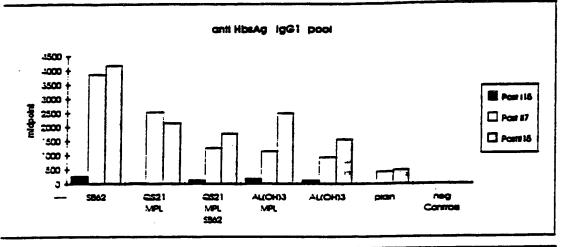
Figure 1

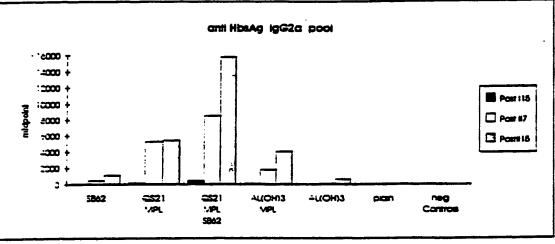
The second second

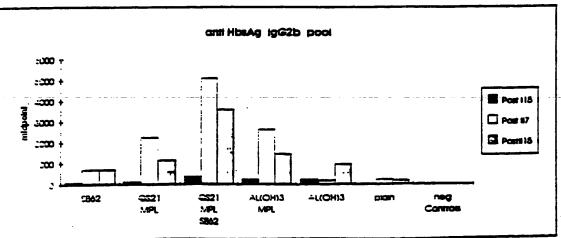




	ANTIGEN HOMAS									
3≅OUP	FORMULATION		IgG1			ig@2a			IgGZD	
3		=7st 115	3087 H7	20sm   5	-car (15	<sup>3</sup> OST II7	PORTE 15	Post 115	Post 17	2cm18
	€3A2	278	3861	4171	44	479	1134	41	700	704
	SSZI MPL	22				5301	5444	138	2235	1140
, ;	CS21 MPL 5862	130					15804	371	\$107	3404
1 :	-LOHDS MPL	187			129		4007	249	2421	1441
5	ALCOHOS	130			_	128	660	266	192	761
;			424				87	5	226	183
;	neg Confros	5	5	5	I	5	5			5





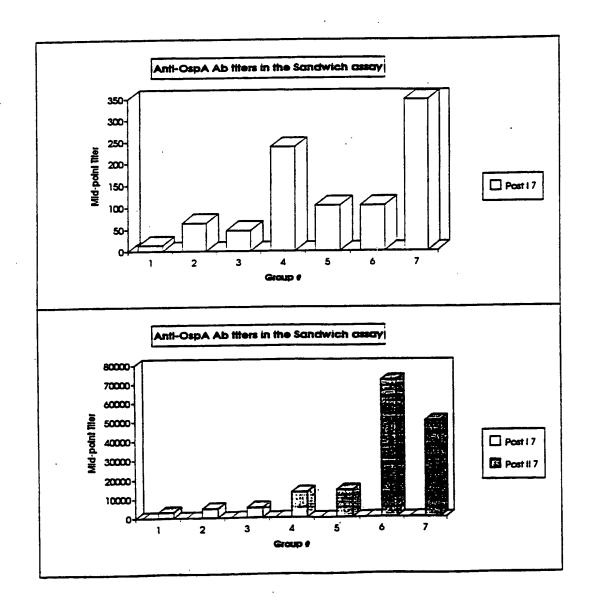




PCT/EP94/04227

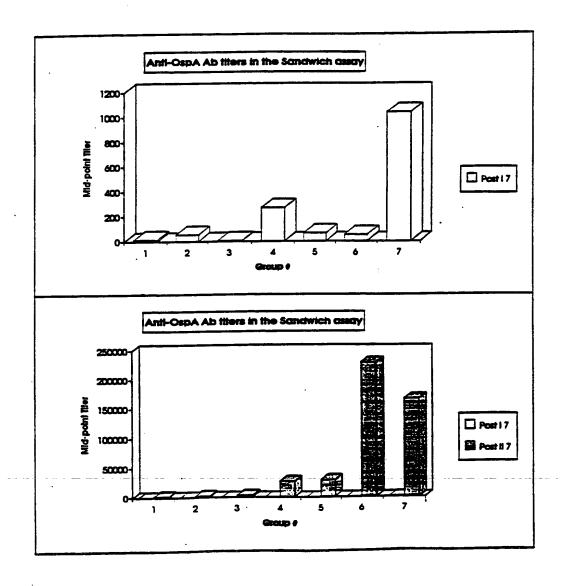
Anti-OspA Abs titers (Igt) after immunization of Baib/Cimice with different formulations of Lipoprotein OspA

Figure 3



Anti-OspA Abs titers (IgG2a) after immunization of Bath/C mice with different formulations of Lipoprotein OspA

Figure 4



# INTERNATIONAL SEARCH REPORT

al Application No EP 94/04227

A. CLASSIFICATION OF SUBJECT N IPC 6 A61K39/39

According to International Patent Classification (IPC) or to both national classification and IPC

#### **B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols) IPC 6 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

16556 (SMITHKLINE BEECHAM CALS) 1 October 1992	Relevant to claim No.
16556 (SMITHKLINE BEECHAM	1-13
the application whole document	·
99 843 (CHIRON CORPORATION) 28 1990 the application whole document	1,6-13
82 271 (AKZO) 16 August 1990 whole document	1,6-13
09336 (CAMBRIDGE BIOSCIENCE ION) 1 December 1988 the application whole document	1,6-13
-/	
	whole document 82 271 (AKZO) 16 August 1990 whole document 09336 (CAMBRIDGE BIOSCIENCE ION) 1 December 1988 the application whole document

<ul> <li>'T' later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</li> <li>'X' document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</li> <li>'Y' document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</li> <li>'&amp;' document member of the same patent family</li> </ul>
Date of mailing of the international search report
<b>3</b> 0. 03. 95
Authorized officer
Moreau, J

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

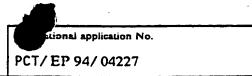
# INTERNATIONAL SEARCH REPORT

onal Application No T/EP 94/04227

P,Y WO,A,94 00153 (SMITHKLINE BEECHAM BIOLOGICALS) 6 January 1994 see the whole document	Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
	P,Y	WO,A,94 00153 (SMITHKLINE BEECHAM BIOLOGICALS) 6 January 1994 see the whole document	1-13
		·	

Form PCT/ISA/210 (continuation of second sheet) (July 1992)





Box I	Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This int	ernational search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
ı. X	Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:  Remark: Although claims 10-11 are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2.	Claims Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3.	Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II	Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This Inc	ernational Searching Authority found multiple inventions in this international application, as follows:
1.	As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2	As all searchable claims could be searches without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
з	As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4.	No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark	on Protest  The additional search fees were accompanied by the applicant's protest.  No protest accompanied the payment of additional search fees.

Form PCT/ISA/210 (continuation of first sheet (1)) (July 1992)

#### INTERNATIONAL SEARCH REPORT

mation on patent family members

mal Application No ET/EP 94/04227

<b>-</b> ,		7172. 3770122.				
Patent document cited in search report	Publication date	Patent family member(s)		Publication date		
WO-A-9216556	01-10-92	AP-A-	368	28-10-94		
525555		AU-B-	654970	01-12-94		
		AU-A-	8510991	28-04-92		
		BR-A-	9107294	14-06-94		
		CN-A-	1064891	30-09-92		
		CZ-A-	9301957	18-05-94		
		WO-A-	9206113	16-04-92		
		EP-A-	0550485	14-07-93		
		EP-A-	0644201	22-03-95		
		HU-A-	67011	30-01-95		
		JP-T-	6501151	10-02-94		
EP-A-0399843	28-11-90	AT-T-	108327	15-07-94		
		CA-A-	2017507	25-11-90		
		DE-D-	69010574	18-08-94		
		DE-T-	69010574	27-10-94		
		ES-T-	2033626	16-10-94		
		JP-T-	5508385	25-11-93		
		WO-A-	9014837	13-12-90		
EP-A-0382271	16-08-90	-T-TA	115862	15-01-95		
		AU-B-	633043	21-01-93		
		AU-A-	4897590	09-08-90		
		CA-A-	2008856	04-08-90		
		DE-D-	69015222	02-02-95		
		JP-A-	2250835	08-10-90		
WO-A-8809336	01-12-88	AT-T-	116993	15-01-95		
	<del>-</del>	AU-B-	616670	07-11-91		
		AU-A-	1934088	21-12-88		
		CA-A-	1331443	16-08-94		
		DE-D-	3852761	23-02-95		
		EP-A-	0362279	11-04-90		
		JP-T-	2504266	06-12-90		
		US-A-	5057540	15-10-91		
WO-A-9400153	06-01-94	AU-B-	4326393	24-01-94		
•		AU-B-	4326493	24-01-94		
		CA-A-	2138996	06-01-94		
		CA-A-	2138997	06-01-94		

## INTERNATIONAL SEARCH REPORT

information patent family members

intr	al Application No	
	EP 94/04227	

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
WO-A-9400153		CN-A- CN-A- WO-A- NO-A- SI-A-	1086142 1092812 9400575 945003 9300335	04-05-94 28-09-94 06-01-94 23-12-94 31-12-93

Form PCT/ISA/218 (patent family ennex) (July 1992)

# THIS PAGE BLANK (USPTO)